EXHIBIT F

https://ibcust101:6443/CAST/DocumentHandling/searchAccounts

ACCOUNT INFORMATION FOR SOU CHENG LAI

			MASTER ACCO				
1764640 Sun Hung Kai Ir	nvestment Services Lim	ited Hong K	(ong Special Admini	strative Region of China	IBroker (No	n-disclosed Int	troducing Broker
			r Summary for S	OU CHENG LAI			
Fitte:		U CHENG LAI				***************************************	
Applicant ID:		94935					
кррисант го. Гуре:			adjectment TBroker (Client Master: 1764640	- Sun Hung Kai	Investment Se	rvices Limited
Legal Country:			inistrative Region of				
Mailing Address:	ES			VISTA LINDA 12 ANDAR C	, TAIPA, , MACAU	, Macao Speci	al Administrative
E-mail Address		rading@shkustr	rader.com				
Primary user name:		scl824	······································				
Employer:		et/Unset Empl	oyer				
Good-faith Review:							
CAFFI - Risk Ranking	3.2						
RELATIONSHIP TO CURRE		- Applicant (Lec	nal Residence/Mallin	Address) Account Holde	г	· · · · · · · · · · · · · · · · · · ·	
RELATIONSHIP TO Non FA							
RELATIONSMIP TO ISON FA	ric construct - Applical	ii (Legal Keside	nermanny noutess,	A LONGE LONGE			
		8.000	OUNT SUMMARY FO	R 376			
	***************************************	were	O(*) COMMAN TO	70.0			
			376				
	5376 (alias:	alias: 5376-: -laisci824-cash) (VAN: 5376-			Equity	0 USD	
ID:	faisci824-cash)	200000	demonstrations			yesterday:	0 050
Гуре:	Active Trader					Started:	11 Apr 2014
Activated Capabilities:	STK					Approved:	12 Feb 2015
Status:	Open. Clearing S	iatus				Opened:	13 Feb 2015
Phylum	C - Customer					Funded:	
Priority	NOT SET Change Pri	ority				Closed:	
IB Entity:	IBLLC-U5					Operator:	
Currency:	USD					Estate:	
THS (I	ckets Th	is sticky notes	PRIOR NOT	***************************************	ount Diagnostic		
			Hide all				··· •
1. Note							hide
Reopen of an ND client accou	nt.						
	Operato	r: ACES		Date: 12 Fe	b 2015		
2. Note	-F 01 41 4						hide
Submitting account for reoper	ning at distance's reque	rst.					
reality account for reoper							
	Operator	: tlee		Date: 12 Feb	2015		
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3. Note	······································						nioe
	atically created						1 7108
3. Note Non-disclosed account automa	atically created	1055		Date: 15 An	- 7014		RIGE

3/17/2015 11:31 AM

https://ibcust101:6443/CAST/DocumentHandling/searchAccounts

Opera	ושוי חינוש	מסובי דו עלו: לחדם	
4. Note			nide
IB's Compliance Department has reviewed the pot based on legal name, DOB and location not an exa		l be required as the potential match has beer	determined to be false-positive
Opera	ator: ewong	Date: 14 Apr 2014	
	CustoMER Co Account Cot Nothing Se Edit Account Co	nfig et	
	Internal Account Nothing Se Edit Account Se ACCOUNT APPROVA CLICK to see Account Re	at Hings L Decision	
	Decision: Accepted	(Reopen)	

Proclamations:

I have reviewed the foregoing account application. Based upon the facts and circumstances presented including, but not limited to: the nature of the applicant's business and I rave reviewed it serves; the type of account for which the applicant has applied (i.e., cleared or execution-only, proprietary or customer, fully-disclosed or ormibus); the type, purpose and anticipated activity of the account; the nature and duration of IB's relationship with the applicant; publicly available information regarding the anti-money laundering and supervisory regime of the jurisdiction in which the applicant is becaused, formed regulated and the jurisdiction where persons with a large ownership interest in the account are located; and the known disciplinary history of the applicant, this applicant is given a Risk Rating of:

Deciding User: ACES Deciding Time: 12 Feb 2015 21:53:20 EST

PRIOR APPROVAL DECISIONS	Show all
Decision: Accepted (Initial) On 15 Apr 2014 16:03:34 EDT	show
Decision: Accepted (Initial)	
Proclamations:	
have reviewed the foregoing account application. Based upon the facts and circumstances presented including, but not limited to: the natuousiness and the markets it serves; the type of account for which the applicant has applied (i.e., cleared or execution-only, proprietary or comnibus); the type, purpose and anticipated activity of the account; the nature and duration of 18's relationship with the applicant; publicly regarding the anti-money laundering and supervisory regime of the jurisdiction in which the applicant is located, formed and regulated and	ustomer, fully-disclosed : available information the jurisdiction where
ersons with a large ownership interest in the account are located; and the known disciplinary history of the applicant, this applicant is give	n a Risk Kating or:
persons with a large ownership interest in the account are located; and the known disciplinary history of the applicant, this applicant is give	n a Risk Kating of:
persons with a large ownership interest in the account are located; and the known disciplinary history of the applicant, this applicant is give 2 Deciding User: ACES Deciding Time: 15 Apr 2014 16:03:34 EDT	n a Risk Rating of:

3/17/2015 11:31 AM

https://ibcust101:6443/CAST/DocumentHandling/scarchAccounts

All Requested Capabilities: MULT STK

Decision: Accepted

Proclamations: Deciding Officer: Deciding Time: 12 Feb 2015 21:53:20 EST

Additional Remarks:

	APPLIC	ANT INFO	
Applicant ID: 2094935 Country of Legal residence: Ma Applicant Citizenship: Macao 5	acao Special Administrative Region of China pecial Administrative Region of China		
			SETE TANQUES, 40 EDF VISTA LINDA 12 ANDAR
Market Data Status: Professional		C TAIPA,MACAU	
		Macao Special Administrative	Region of China
		Marketing/Sales Info:	
		Whitebranding ID: ustrader2	
	Change Applica	nt Information	
	IPO CERTIFICAT	TON INFORMATION	
	No re	sponse	
	Exces	s Sweep	
		S SWEEP	
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	INVESTMEN Years of Experience #	one TEXPERIENCE of Trades per Year	Knowledge Level
Stocks	INVESTMEN Years of Experience # N/A N	one TEXPERIENCE of Trades per Year /A	Knowledge Level
Stocks Options	INVESTMEN Years of Experience # N/A N	one TEXPERIENCE of Trades per Year	-
	INVESTMEN Years of Experience # N/A N N/A N	one TEXPERIENCE of Trades per Year /A	-
Options	Years of Experience # N/A N N/A N N/A N N/A N	one # EXPERIENCE of Trades per Year /A	-
Options Commodities	Years of Experience # N/A N/A N N/A N/A N N/A N/A N	one T EXPERIENCE of Trades per Year /A /A	-
Options Commodities Bond	TINVESTMEN Years of Experience # N/A	one 7 EXPERIENCE of Trades per Year /A /A /A	-
Options Commodities Bond FX	N/A N/A	one T EXPERIENCE of Trades per Year /A /A /A /A	0 0 0 0
Options Commodities Bond FX	N/A N/A	one T EXPERIENCE of Trades per Year /A /A /A /A /A /A	0 0 0 0
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				W8 INFO					
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Submitted: 11 Apr 201- 06:11:06 EDT	4 own	er_type	Individual			Individual			
For Interest: No For Dividend: No	acco	unt_title	Ms. SOU CHEN			Ms. SOU CHENG L			
For Broker: No Expiration Date: None	citiz	enship	China			Macao Special Ad China			
Expressor base. None	coun	itry	Macao Specia China	l Administrative	Region of	Macao Special Ad China	lministrative Req	tion of	e de la constante de la consta
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			 ម	S TAX INFO					
	***************************************	N	o Tax Info or W9	form available of	r applicable			***************************************	***************************************
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	Dire	ct Trading: Yes			Repo	rting only QI: no		414.00	
			Соин	ISSION SCHEDU	LE			***********	
	CURRENCY /	I				MAX MARKUP	I	Pass	Min as

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https://ibcust101:6443/CAST/DocumentHandling/searchAccounts

STK						
USD stocks	USD Stocks	Absolute Amount	15.0	0.075	0.03 <= 2000 0.025 <= 5000 0.02 OTHERWISE	no

INTEREST MARKUPS

CURRENCY	UNIT	DEBIT MARKUP	CREDIT MARKUP SHORT CREDIT MARKUP	SHORT CFD CREDIT SHORT INDEX CFD CREDIT	LONG CFD DEBIT LONG INDEX CFD DEBIT
AUD	%	•	- f -	-1-	-/-
CAD	%	-	- / -	./-	
CHF	%	Control Control Control Control Control Control	- / -	-/-	-/-
FUR	%	-	-/-	-/-	-/-
GBP	%	-	-/-	-1-	-/-
HKD	%		- / -	- / -	-/-
JPY	%		. / -	- 1 -	-/-
HSD	90		-1-	-1-	-/-

INVESTOR CATEGORY

No Investor Category History

APPLICANT ASSOCIATED ENTITIES

MS. SOU CHENG LAI (ACCOUNT HOLDER)

Ms. SOU CHENG LAI Individual (21895890)

Ownership percentage: 100.0 Detailed Ownership Information

Contact Info Home Phone: 85366435744 Work Phone: 85366601729 Home (PRIMARY): (853) +85366435744 details

Work (ADDITIONAL): (853) +85366601729

details

Personal Info Citizenship: Macao Special Administrative

Region of China DOB: 1969

Legal IDs National ID: (Macao Special Administrative Region of China)

Addresses Residential Address: ESTRADA DE SETE TANQUES, 40 EDF VISTA LINDA 12 ANDAR C TAIPA,MACAU

Macao Special Administrative Region of China

Mailing Address: Same as residential address

Request Proof of ID and DOB Change Info

Request Proof of Address

Request Proof of SSN

Ownership History Mark as PEP

Employment Status: UNEMPLOYED

3/17/2015 11:31 AM

https://ibcust101:6443/CAST/DocumentHandling/searchAccounts

Sessions:	User: laiscl824 (5376)		Active in TWS: Yes	Token	Type: N/A	
		Account Ass	ociated Entities	376		***************************************
		opposite property of the property of the control of	Show all	//////////////////////////////////////	ne pagagaan dagagaan en bahadanin (
			No Associated Entity		a consistent a montant consistent of	
		COMPLIANCE	/Regulatory Information	Ж		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
		ಸಿರೆದೆ ಕೇ	oker-Dealer Affiliation			
		QUESTION	AND THE STATE OF T		ANSWER	NATIVE ANSWER
is the account holder a member of an exchange or an associated person, affiliated person or employee of an exchange						N/A
member? Is the account holder a member of a regulatory or a self-regulatory organization?						N/A
Is the accoun	it holder; is a director; ii) a 10% r Controlled Stocki	shareholder; or iii a p	olicy-making officer of any s	sublicly traded company?	N/A	N/A
I	egistered financial advisor?	10.01 100000 10000 10000 10			N/a	N/A
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		CALIFORNIA TO				***************************************
	COMMISSION TYPE	STATUS	DATE REQUESTED	DATE EFFECTIVE		
	Securities	Bundled				
	Commodities	Bundled		1		
		EXCHANGE CODE	CLASS			
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		REGULATORY CODE	CLASS			

3/17/2015 11:31 AM

https://ibcust101:6443/CAST/DocumentHandling/searchAccounts

OFAC, SANCTIONED AND CONTROL LIST/PROHIBITED CUSTOMER LIST SEARCHES

Name	Matches	
Search (# 87313842) f	or Ms. SOU CHENG LAI (Account Holder)	Complete - no match found hide
SOU CHENG LAI	No Matches found.	Processing time: 14 Mar 2015 11:10:39 EDT

WORLDCHECK TASKS

Name	Matches	
WorldCheck Search Holder)	(# 87313843) for Ms. SOU CHENG LAI (Account	Faise positive - Not an actual match. hide
SOU CHENG LAI	Match found. Name Match: Kon Cheng LAI Name Match: Wen Cheng LAI Name Match: Chung Cheng LAI Name Match: Lai King CHENG Name Match: Sou Tian LAI See Match Details	Processing time: 11 Apr 2014 06:14:57 EDT Additional Notes: IB's Compliance Department has reviewed the potential match. No further follow-up will be required as the potential match has been determined to be false-positive based on legal name, DOB and location not an exact match. (ewong - 14 Apr 2014 22:10:25 EDT)

DOCUMENT SUBMISSION TASKS

Reject Multiple

DOCUMENT SUBMISSION TASK	DOCUMENT RECEIVED		Actions
W-8Ben for SOU CHENG LAI	IBLLC-US-2X12171.pdf via E-mail 11 Apr 2014 06:43:35 EDT		Under Review
Proof of identity and date of birth for Ms. SOU CHENG LAI (Account Holder)	National ID IBLLC-US-2112171.pdi via E-mail 11 Apr 2014 06:43:35 EDT	Tentatively Accepted (ewong) on 14 Apr 2014 22:08:50 EDT	Under Review
Proof of address for Ms. SOU CHENG LAI (Account Holder)	Utility Bill IRLLC-US-2112171.pdf via E-mail 11 Apr 2014 06:43:35 EDT	Tentatively Accepted (ewong) on 14 Apr 2014 22:08:50 EDT	under Review

COMPLIANCE REVIEWS

No compliance reviews required for this Account.

3/17/2015 11:31 AM



Form W-8BE (Rev. February 2006)	for United States Tax Withholding Section references are to the Internal Revenue Code. See separate instruction	OMB No. 1545-1621
Department of the Treat Internal Revenue Service		
Do not use this form	for:	Instead, use Form:
 A U.S. citizen or 	other U.S. person, including a resident allen individual	W-9
A person claiming	g that income is effectively connected with the conduct ness in the United States	W-8ECI
- A Etun northor	chio a forcion cimple trust or a forcion grantor trust (see instructions for exceptions)	W-8ECI or W-8IMY
		le
atainsing the anni	ment, international bigalization, oregin behind by the control of the control of the control of a U.S. possession that received effectively connected income or that icability of section(s) 115(2), 501(c), 892, 895, or 1443(b) (see instructions)	W-8ECI or W-8EXP
Matter Thoma antitio	s should use From W-RRFN if they are claiming treaty benefits or are providing the form only to	
claim they are a for	aign person exempt from backup withholding.	YMI8-W
A person acting:	as an intermediary	,
Part Ide	ntification of Beneficial Owner (See instructions.) //dual or organization that is the beneficial owner 2 Country of	incorporation or organization
, , , .		
	OU CHT-NET. Corporation Disregarded entity Partners	ership Simple trust
	C Suprement Intern	ational organization
Grantor tru	St Complex cost	
4 Permanent re	sidence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of ad	ddress.
-	CETE TANDUES AN FOR VICTA LINDA DANDAR CHAPA MAC	AU
City or town,	state or province. Include postal code where appropriate.	untry (do not abbreviate)
MACAL		MACAU
5 Mailing addre	ss (if different from above)	
City or town,	state or province. Include postal code where appropriate.	ountry (do not abbreviate)
	7 Foreign tax identify	ving number, if any (optional)
	SSN or ITIN EIN	,, (-p,
8 Reference nu	mber(s) (see instructions)	
	T. Trock Pagafits (if applicable)	
	im of Tax Treaty Benefits (if applicable)	
9 I certify that	(check all that apply): Icial owner is a resident of MACAU within the meaning of the income tax treaty between the	United States and that country.
a 🖾 The bene	d, the U.S. taxpayer identification number is stated on line 6 (see instructions).	
r	and a land and individual decision the item (or items) of income for which the treaty benefit	s are claimed, and, if
desilens	a masts the requirements of the treaty provision dealing with illitiation of benefits (see histocom	J. 10).
d The bene	ficial owner is not an individual, is claiming treaty benefits for dividends received from a foreign of the properties of a foreign corporation, and meets qualified resident status (see instructions).	corporation or interest from a
e The bene	ficial owner is related to the person obligated to pay the income within the meaning of section 2 33 if the amount subject to withholding received during a calendar year exceeds, in the aggregati	ο, φουσ,σου.
	and any life applicablecae instructions): The beneficial owner is claiming the provisions	of Articleof the
turner identifi	od on line 9s shove to claim a% rate of withholding on (specify type of income).	······································
Explain the n	easons the beneficial owner meets the terms of the treaty article:	

Part III No	tional Principal Contracts ovided or will provide a statement that identifies those notional principal contracts from which the	e income is not effectively
connecte	d with the conduct of a trade or business in the United States. I agree to appear this states have	as required.
Part IV Ce	rtification rjury, I declare that I have examined the information on this form and to the best of my knowledge and belief i	t is true, correct, and complete. I
Under penalties of pe	riury, I declare that I have examined the shorthead of all the income to which this form relates,	
1 I am the beneficial	owner (or am authorized to sign for the destending owner) or an authorized to sign for the destending owner.	
2 the beneficial owner 3 The income to which	r is not a U.S. person, It this form relates is (a) not effectively connected with the conduct of a trade or business in the United States this form relates is (a) not effectively connected income, and	, (b) effectively connected but is
not subject to tax un	ler an income tax fredry, or (c) the parties of the instructions	
Furthermore, I author	ons or barter exchanges, the beneficial owner is an exempt toreign person as usening in the transcording are this form to be provided to any withholding agent that has control, receipt, or custody of the income of what that can disburse or make payments of the income of which I am the beneficial owner.	ion I am the Deneacial owner of
any withholding agen	that can dispurse of make payments of the about	
O: 11 1	競導。原名 O4/01/2 Signature of beneficial owner (or individual authorized to sign for beneficial owner) Date (MM-DD-YYY)	Capacity in which acting
Sign Here	Signature of beneficial owner (or individual authorized to sign for beneficial owner) Date (MM-DD-YYYY	(apacity in which acong

LAI SOU CHENG

For Paperwork Reduction Act Notice, see separate instructions.

Form W-8BEN (Rev. 2-2006)



新鴻基投資服務有限公司-澳門分行 Sum Hung Kai Investment Services Limited · Macau Branch I hereby certify that this is a true copy of the original document which I have seen and that I have met the Individual and can confirm that photograph is a true likeness. Name of Certifier MAK HOI NING Job Title IC Date I / 4 / 2014 Signature MAK







資制通

Linha

Info

Info

Line

2833

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福停服務

Emergência

Emergency

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www.cem-macau.com

黎素晶 LAI SOU CHENG 七潭公路, 40 美景臺, 12 樓 C 氹仔

FSTRADA DE SETE TANQUES 安裝地址 40 FDF VISTA LINDA

版。美国巴克斯的爱国联公司《Companhia de Electrodade de Macab - CEM SA)

探索語 LAI SOU CHENG 七潭公路, 40 美景臺, 12 樓 C 氹仔

12 ANDAR C TAIPA MACAU 合約號碼

5000313860

收費類別 按金

客戶姓名

A1

澳門幣 219.00

5071	賬單編號: 1651408492 發單時期: 由 25/01/2014 至 26/02/2014		發單!	3期:	26/02/2014	
υ.		單位	單位價格	金額(澳門幣)	_
P 005208	功率費 電費 收費調整系數 政府稅 本期費用	11.5 231 231	3.372 0.963 0.360		38.78 222.45 83.16 2.50 346.89	
	本月政府電費補贴 上月費用 繳費 (10/02/2014) - 多謝 上月零數潑來 零數撥入下月				-200.00 260.00 -260.00 4.71 -1.60	

請於此日期前繳付: 13/03/2014

150.00

本月電費將於 13/03/2014 透過自動轉賬在您的中國銀行戶口內扣除。 於到期付款日後繳費須繳付逾期附加費。

若於 21/03/2014 仍未繳費,供電服務將被暫停。



用電量紀錄閩 æ 800 600 400 200 0 1 2 12 10 11 5 8 2013 年度 平均每月用電量 510度 過去12億月

讀錶資料 共 日用電量 26/02/2014 主 25/01/2014 由 26/03/2014 下月抄錶日期 用電量(度) 乘數 本月讀數 上月讀數 質敍號碼 231 48788 48557 1.0 164621

資訊 累積未使用政府電費補貼302.33, 新鴻基投資服務有限公司-澳門分行
Sun Hung Kai Investment Services Limited - Macan Branch
I hereby certify that this is a true copy of the original document which I have seen and that I have met the Individual and can confirm that photograph is a true likeness.

Name of Certifier MAL Hol NING

Job Title I C

Date I / 4 / 2014

吹骥

蓋印有效

請於繳費時交回此部份



5000313860 合約號碼 150,00 應付金額 13/03/2014 到期付款日

Signature

21/03/2014 避免截電日期 BOC01 自動轉版銀行

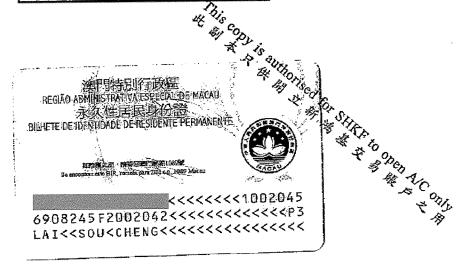
•					
W-8BEN lev. February 2008)	for United	reign Status of Be I States Tax Withing Internal Revenue Code.	olding		DM3 No. 1545-7621
epartment of the Treasury	Section reservations are in in	ithholding agent or payer. Do	not send to the IR	B	
emal Revenue Service			•		Instead, use Form:
A person claiming the	U.S. person, including a resident of tincome is effectively connected with in the United States	ith the conduct			W-9
A foreign partnership, A foreign government foreign private founda claiming the applicable	a foreign simple trust, or a foreign international organization, foreign tion, or government of a U.S. poss- ilty of section(s) 115(2), 501(c), 892, wild use Form W-88EN if they are of	central pairs of issue, foreign to assign that received effectively of 895, or 1443(b) (see instruction laiming treaty benefits or are pri	connected income or	on, that is	,W-8ECI or W-8EXP
im they are a foreign A person acting as ar	person exempt from backup within intermediary	dding.			W-8IMY
	or additional exceptions.	(Can Instructions)			
art I Identifi	cation of Beneficial Owner	(See instructions.)	2 Coun	try of Incorpo	ration or organization
LAI SOU	or organization that is the benefic			Partnership	Simple trust
Type of beneficial Grantor trust Central bank of	Complex trust	☐ Estate ☐ Go	rerament	International o	
Permanent reside	nce address (street, apt. or suite no	., or rural route). Do not use a	P.O. box or in-care	of address.	
TO AND DE CE	TF TANKINES 40 EDF	VISTA LINDA ID AND	IR C TAIPA	WITCH DI	o not abbreviate)
MACAU				NIFIC	रात
_	different from above)	44.			animal index
City or town, state	or province. Include postal code v	vinere appropriate.			o not abbreviate)
U.S. taxpayer ide	ntification number, if required (see i	nstructions) SSN or ITIN EIN	7 Foreign tax is	lentifying nun	nber, if any (optional)
Reference numbe	r(s) (see instructions)				
art II Claim	of Tax Treaty Benefits (if ap	plicable)			
- The honoficial	numer is a resident of PLOCALL.	within the meaning of the inco	me tax treaty between	n the United S	rates and that country.
	LLO ALLE STANDERS OF THE PERSON NAMED IN	ar is stated on line 6 (see instru	ctions),		
	owner is not an individual, derives sets the requirements of the treaty	ALDRISTON DESILED MILLS HELICARD	Of: Delicited fame were	a woman . wy.	
	owner is not an individual, is claim business of a foreign corporation.				
E.m. 0022 if	owner is related to the person obti the amount subject to withholding	eceived draing a common had	EMOCOCOS, III INC 099	. co darrat darrat	
Special rates an	d conditions (if applicable—sea ins	tructions): The beneficial owner % rate of withholding on	is claiming the prov specify type of inco	isions of Artic me):	deor the
Explain the reason	ns the beneficial owner meets the t	erms of the treaty article:			
			•		
art III Notion	al Principal Contracts	1 (7 - 1) the antional distant	a contracts from wh	ich the incom	e is not effectively
connected wi	ed or will provide a statement that it the conduct of a trade or busine	ss in the United States. I agree	to update this state	ment as requ	red.
art IV Certification of perjury, her certify under penalt	I declare that I have examined the infor	mation on this form and to the best	of my knowledge and I	polief it is true,	correct, and complete. I
am the beneficial owner he beneficial owner is r	for am authorized to sign for the panel of a U.S. person,	and the same of th	numinous in the Heiter!	States, (b) effec	tively connected but is
t subject to tax under & for broker transactions (tanm relates in (a) not effectively conne i income tex treaty, or (c) the partner's: w barter exchanges, the beneficial owner is form to be provided to any withholdin can disburse or make payments of the	r is an exempt foreign person as de	fined in the instructions	:	
. 7	大き、日本 Act 中の beneficial owner for individual		04/0	1/2014	apacity in which acting
- y Sign			lo. 25047Z	Form V	V-8BEN (Rev. 2-2006)
r Paperwork Reduc	tion Act Notice, see separate ins	tructions. Cal. i	u, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	1 3/41/2	

LAI SOU CHENG



Lai Sou Cheng

新鴻基投資服務有限公司-澳門分行 Sun Hung Kai Investment Services Limited · Macau Branch						
I hereby centify that this is a true copy of the original document which I have seen and that I have met the Individual and can confirm that photograph is a true likeness.						
Name of Certifier	MAK HOI NING					
Job Title	1C					
Date 1/4/2014						
Signature	Mar					



Macau Special Administrative Region
Permanent Resident Identity Card
If this card is found, please mail it back to PO Box 1089, Macau
[logo:] [Seal of the Government of Macau]

SEC-IB-E-0000571

. 臂 竇 單。FACTURA。BILL





LAI SOU CHENG

Customer's Name: LAI SOU CHENG

ESTRADA DE SETE TANQUES 40 FOF VISTA LINDA 12 ANDAR C TAIPA MACAU

Installation Address: Apt. 12C, edf. Vista Linda

40 Estrada De Sete Tanques

Taipa, Macau

Contract Number: 5000313860

Bill Type: A1

Amount: MOP\$219.00

Bill Number: 1651408492

Billing Cycle: From January 25, 2014 to February 26, 2014 Billing Date: February 26, 2014

	Unit	Unit Price	Amount (MOP\$)
Power Cost	11.5	3.372	38.78
Electricity Fee	231	0.963	222.45
Fee Adjustment Coefficient	231	0.360	83.16
Government Tax			2.50
Fee During This Cycle			346.89
Government Electricity Fee			-200.00
Subsidy This Month Last Month's Fee			260.00
Amount Paid (February 10,			-260.00
2014) – Thank you			
Last Month's Balance			4.71
Balance Allocated to Next			-1.60
Month			

Please pay this amount before: March 13, 2014

150.00

This month's electricity fee will be deducted from your Bank of China account through automatic transfer on March 13, 2014.

If you pay the fee after the due date, then you will have to pay an extra fee for passing the due date.

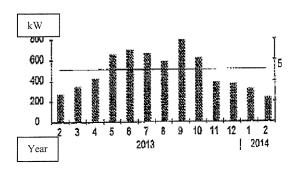
(amount payable)

If you still have not paid your electricity bill before March 21, 2014,

then power supply will be suspended.

Power usage record

Chart information



A total of 33 days for power usage

From January 25, 2014 to February 26, 2014 Next month's reading date: March 26, 2014

Electricity	This	Last	Multiplier	Power
Meter	Month's	Month's		Usage
Number	Reading	Reading	1.0	(k/W)
164621	48788	48557		231

The average monthly power usage in the past 12 months was 510 k/W.

Information

Accumulated unused government electricity fee subsidy is 302.33.

Please return this portion when paying your electricity bill.

新鴻基投資服務有限公司-> Sun Hung Kai Investment Services Limited - N	Aacau Branch
I hereby certify that this is a true copy of the one which I have seen and that I have met the India	inal document

confirm that photograph is a true

Name of Certif	ier MAK HOI NING
Job Title	10
Date	1/4/2014
Signature	Carak

Receipt

Valid if stamped



5000313860 Contract Number

Amount Payable

March 13, 2014 Due Date

March 21, 2014 Due Date to Avoid Suspension of

Power Supply

BOC01 Bank for Automatic Transfer





Registration Status

https://ibcust101:6443/CAST/DocumentHandling/accountInformation?uscr_namc=laiscl824&acc...

REGISTRATION STATUS

-	MASTER ACCOUNTS
1764640 Sun Hung Kai Investm	ent Services Limited Hong Kong Special Administrative Region of China IBroker (Non-disclosed Introducing Broker)
	CUSTOMER SUMMARY FOR SOU CHENG LAT
Title:	SOU CHENG LAI
Applicant ID:	2094935
Туре:	INDIVIDUAL - Non-disclosed IBroker Client Master: 1764640 - Sun Hung Kai Investment Services Limited
Legal Country:	Macao Special Administrative Region of China
Mailing Address:	ESTRADA DE SETE TANQUES, 40 EDF VISTA LINDA 12 ANDAR C, TAIPA, , MACAU, Macao Special Administrative Region of China
E-mail Address	ustrading@shkustrader.com
Primary user name:	laiscl824
Employer:	Set/Unset Employer
Good-faith Review:	
CAFFI - Risk Ranking 2	
RELATIONSHIP TO CURRENT ML	RISK COUNTRY - Applicant (Legal Residence/Mailing Address) Account Holder
	JNTRY - Applicant (Legal Residence/Mailing Address) Account Holder

ACCOUNT SUMMARY FOR 5376

537

ID:	5376 laisc 824-ca	5 (alias: 5376- lai ish)	scl824-cash) (VAN: 537	6-	Equity yesterday:	0 USD
Type:	Active Trad	er			Started:	11 Apr 2014
Activated Capabilitie	es: STK				Approved:	12 Feb 2015
Status:	Open. C	learing Status			Opened:	13 Feb 2015
Phylum	C - Custom	er			Funded:	
Priority	NOT SET C	sange Priority		4	Closed:	
IB Entity:	IBLLC-US				Operator:	
Currency:	USD				Estate:	
	TMS tickets	TMS sticky notes	Assign to ASG	Account Diagnostic		

	Su	mmary of Steps	
Online steps Completed	Paper PDF Forms Not required	Funding Instruction Not required	407 Letter Not required
ID Documents Received	Equifax Not required	OFAC Status Completed WordCheck Status Completed	Other compliance checks Not required
		Application	
Application Typ	a: FULL	Process Type: CUS	_ENTRY - CUSTOMER
Current Sequen	ce: APPLICATION	Multi Account Eligi	ble: No
Status: APP STA	nus .	Multi Master Accou	nt:

3/17/2015 12:10 PM

Registration Status

https://ibcust101:6443/CAST/DocumentHandling/accountInformation?user_name=laiscl824&acc...

View A	II Registration Steps
Application Steps	
APPLICATION	
APP_STATUS	
 •	

ONLINE STEPS REQUIRED TO OPEN OR UPGRADE ACCOUNT

Sort Steps By Name

Account	Registration Step	Status/ Date Completed	Туре
All require	d online steps completed Hide all		
U1345376	Margin and Products	15 Apr 2014 21:48:05 EDT	to complete
U1345376	Market Data Selection	25 Apr 2014 10:17:09 EDT	to complete
U1345376	W-8Ben	11 Apr 2014 06:11:06 EDT	to complete
	Current Status: W-0		

OTHER ONLINE STEPS (NOT REQUIRED TO OPEN OR UPGRADE ACCOUNT)

No other registration steps required for this Account.

DOCUMENT COPY OF ONLINE FORMS

Account	Registration Step	Status/ Date Completed	Туре
All docume	ents received Hide all		
U1345376	W-8Ben	14 Apr 2014 22:08:50 EDT	to send
	Current Status: W-0		<u></u>

DOCUMENT SUBMISSION STEPS

Document required	Document received	Processing notes	Account id
All required documents sent the	de all		
Proof of identity and date of birth for Ms. SOU CHENG LAI (Account Holder)	Received National ID via E-mail on 11 Apr 2014 06:43:35 EDT	Tentatively Accepted (ewong) on 14 Apr 2014 22:03:50 EDY	U1345376
	Received Utility Bill via E-mail on 11 Apr 2014 06:43:35 EDT	Tentatively Accepted (ewong) on 14 Apr 2014 22:08:50 EDT	U1345376

407 IFTTER/EMAIL FROM SMPLOYER REQUIRED DUE TO BROKER-DEALER AFFILIATION

3/17/2015 12:10 PM

Registration Status		https://ibcust101:6443/CAST/Do	cumentHandling/accountInform	ration?user_name=laisel824∾
		No Employer Email (407 letter) required for this Ac	count	···········
		Equifax ID/Address Verification Repo	RTS	
		No Equifax/CDC verification reports for this Acco	urt.	
		IONED AND CONTROL LIST/PROHIBITED CUSTO	MER LIST SEARCHES	
	Name Search (# 87313842)	for Ms. SOU CHENG LAI (Account Holder)	Complete - no match found	
	SOU CHENG LAI	No Matches found.	Processing time: 14 Mar 2015 11:10:39 EDT	
		WorldCheck Tasks		
	Name	Matches		
	WorldCheck Search (Holder)	# 87313843) for Ms. SOU CHENG LAI (Account	Faise positive - Not an actual match. hide	
	SOU CHENG LAI	Match found. Name Hatch: Kun Cheng LAI Name Hatch: Wen Cheng LAI Name Hatch: Chung Cheng LAI Name Hatch: Lai King CHENG Name Hatch: Sou Tien LAI See Match Details	Processing time: 11 Apr 2014 06:14:57 EDT	
	 L	COMPLIANCE REVIEWS		
		No compliance reviews required for this Accou	nt.	
	 	HFCIP COMPLIANCE REVIEWS		

No compliance reviews required for this Account.

3/17/2015 12:10 PM

Additional Account Information

 $https://ibcust101:6443/CAST/DocumentHandling/accountInformation? user_name=laiscl824\&acc...\\$

ADDITIONAL ACCOUNT INFORMATION

		CUSTOMER SUMMARY FOR SOU CHENG LAI		

Title:	SOU CHE	NG LAI		
Applicant ID:	2094935			
Type:			- Sun Hung Kai Investment Se	rvices Limited
Legal Country:	Macao Spi	ecial Administrative Region of China		
Mailing Address:	ESTRADA Region of	DE SETE TANQUES, 40 EDF VISTA LINDA 12 ANDAR C, China	TAIPA, , MACAU, Macao Speci	al Administrative
E-mail Address	ustrading	@shkustrader.com		
Primary user name:	laiscl824			
Employer:	Set/Un	set Employer		
Good-faith Review:				
		Account Summary For 5376		
				10000 - 1010000 - 100000 - 1000000 - 1

ID:	5376 laisc 824-ca	(alias: 5376- lai sh)	sci824-cash) (VAN: 537	6-	Equity yesterday:	0 USD
Type:	Active Trade	er .			Started:	11 Apr 2014
Activated Capabilities	s: STK				Approved:	12 Feb 2015
Status:		earing Status			Opened:	13 Feb 2015
Phylum	C - Custom	er			Funded:	
Priority	NOT SET C	ange Priority			Closed:	
IB Entity:	IBLLC-US				Operator:	
Currency:	USD				Estate:	
T	MS tickets	TMS sticky notes	Assign to ASG	Account Diagnostic		

Logis (o' years) (c' Logis (ris)	Account Configuration OFAC Chec		
	AM Login Activi	TY (LAST 3 YEARS)	
	Showing 3 years o	f AM login attempts	Start/Stop IP Tracki
USER NAME	LOGIN DATE	RESULT	REMOTE HOST
laiscl824	2014-12-31 09:56:29	Success	14.123.216.112
laiscl824	2014-06-11 00:46:42	Success	125.88.77.13
laiscl824	2014-04-25 10:21:31	Success	122.100.230.96
laisci824	2014-04-25 10:16:44	Success	122.100.230.96
laisci824	2014-04-24 05:57:44	Success	122.100.230.96
\$	2014-04-24 05:57:01		177.100.230.96

3/17/2015 4:04 PM

IBroker Client Account

 $https://ibcust101:6443/CAST/DocumentHandling/accountInformation?user_name=laise1824\&acc...\\$

IBROKER CLIENT ACCOUNT

				ASTER ACCOUN	***************************************	College (Dec	les (Non die -)	ad Inter-	lucina Broka
(764640 Sun Hung Kai Ir	vestment Servic	es Limited	Hong Kong Sp	pecial Administr	ative Region of	r China IBro	ker (Non-disclos	ea introc	ncing proke
		Cı	ISTOMER SUM	imary for SOL	CHENG LAI			enegggerentistis	***************************************
	200000000000000000000000000000000000000	SOU CHEN	C 1 67						
Title:		2094935	G DAI					~~~~	
Applicant ID:			M - Non-disclo	sed IBroker Clie	nt Macter: 17	64640 - Sun H	uno Kai Investme	nt Servi	ces Limited
Type:				tive Region of C					
.egal Country:		ECTRADA	DE CETE TANO	HES AN ENE VAS	TA LINDA 12 A	NDAR C, TAIPA,	MACAU, Macao	Special A	dministrativ
Mailing Address:		Region of C	hina						
E-mail Address			Dshkustrader.c	om					
Primary user name:		laisci824							
Employer:		Set/Uns	et Employer						
Good-faith Review:									
			ACCOUNT 5	SUMMARY FOR	5376			recovered to	***************************************
codesc				5376					
				2310					
	5376	/aliac: 53	76I	aiscl824-cash) (/AN: 5376		Equity		USD
D:	laiscl824-cash		, •	uiscion i cosii) (secondary 3070	accupance description (COMMISSION)	yester	iay: 0	020
Type:	Active Trader						Started	1: 11	Apr 2014
Activated Capabilities:	STK						Approv	ed: 12	Feb 2015
Status:		aring Status					Opene	d: 13	Feb 2015
Phylum	C - Customer						Funde	1:	
Priority	NOT SET Char	nge Priority					Closed	:	
IB Entity:	IBLLC-US						Operat	or:	
Currency:	USD	***************************************					Estate		
THS LI	ckets	TMS stick	v notes	Assio	n to ASG	Account Diag	nostic		
,,,5	- ACC		,						
			PENDIN	6/REJECTED I	evoices			*********	
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	***************************************	William Andrews Andrews Andrews	1000000 100000000000000000000000000000		40000.00000000000000000000000000000000		998-3306-3386-		. 4000000 - 4000000 - 1000
			No pe	nding/rejected in	voices				
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	***************************************		ADVISOR I	NVOICES (LAST	2 MONTHS)			************	*******************
		00000-000000000000000000000000000000000	*****			Anna Caranta and Anna Cara			
			No Invol	ices for the last 2	HOMERS				
			C	mannensi Colari	NOVE				
		2000-1-02000-1-12000-1-1200	Сом	MISSION SCHE	OULE			************	***************************************
			Сом	MISSION SCHE	DULE			Pass	
	CURRENCY /	Type				MAX MARKUP	VALUE	PASS THROUG	Min as
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Exchange		Түре					VALUE	THROUG	MIN AS H TICKET
		ТүрЕ						THROUG	MIN AS H TICKET
Exchange	UNIT		MINEAUM			Амоинт	0.03 <= 2000	THROUG FEES	MIN AS TICKET CHARGE
Exchange		TYPE Absolute						THROUG	MIN AS H TICKET

3/17/2015 4:06 PM

IBroker Client Account

https://ibcust101:6443/CAST/DocumentHandling/accountInformation?user_name=laisel824&acc...

Show History INTEREST MARKUPS No Record CURRENT FEES MIN AS TICKET CHARGE Max Markup Amount CURRENCY / UNIT THROUGH FEES TYPE MUNIXAM EXCHANGE STK 0.03 <= 2000 0.025 <= 5000 0.02 OTHERWISE USD Absolute Amount USD stocks 15.0 0.075 Stocks

FA WRAP FEE/ACATS REQUESTS

No requests

3/17/2015 4:06 PM

ADDITIONAL ACCOUNT INFORMATION

1764640 Sun Hung Kai I	nvestment Services Lin	nited Hong Kong Special	Administrative Region of China	IBroker (Non-	-disclosed Intr	oducing Broker)
			GE SOUTHER FOR			***************************************
itle:		SOU CHENG LAI				
pplicant ID:		2094935				
ype:			Broker Client Master: 17 6484	e - Sun Hung Kai	Investment S	ervices Limited
egal Country:	M	Macac Special Administrative R	legion of China		990990000000	
lailing Address:	F	Region of China	40 EDF VISTA LINDA 12 ANDA	R.C., TAIPA, , MALA	U, Macad Spe	cial Acministrati
-mail Address		ustrading@shkustrader.com				
rimary user name:		laisci824				
mployer:		Set/Unset Empkoyer	Annual			
iood-faith Review:					· · · · · · · · · · · · · · · · · · ·	
	·	200000000000000000000000000000000000000		***************************************	***************************************	
		322	<u></u>	200000000000000000000000000000000000000		<u> </u>
			6376			
		•	p3/6			
	5376 (alia	s: 5376- laiscl	124-cash) (VAN: 5376-		Equity	0 USD
D;	laiscl824-cash)				yesterday:	
урс:	Active Trader				Started: Approved:	11 Apr 2014 12 Feb 2015
ctivated Capabilities:	STK				Opened:	13 Feb 2015
itatus:		g Status			Funded:	13160 2013
hylum	C - Customer NOT SET Change	National States			Closed:	
Priority B Entity:	IBLIC-US	ritority			Operator:	
Currency:	USD				Estate:	
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*****************	*****		RESULT Success	RE 14.123.216.112		
taiscl824	2014-12	LOGIN DATE 1:31 09:56:29	**********	********************		
laiscl824 laiscl824	2014-12 2014-06	LOGIN DATE :31.09:55:29 :-11.00:46:42	Success Success	14.123.216.112 125.88.77.13	•	
Laisci824 Laisci824 Laisci828	2014-12 2014-06 2014-04	LOGIR DATE -31.09:56:29 -11.00:46:42 -25.10:23:31	Success Success Success	14.123.216.112 125.88.77.13 122.100.230.98	: 5	
laiscl824 laiscl824	2014-12 2014-05 2014-04 2014-04	LOGIR DATE 1.31.09:56:29 1.11.00:46:42 1.25.10:31:31 1.25.10:16:44	Success Success Success Success	14.123.216.112 125.88.77.13 122.100.230.96 122.100.230.96	\$ \$	
Laisci824 Laisci824 Laisci828	2014-12 2014-05 2014-04 2014-04	LOGIR DATE -31.09:56:29 -11.00:46:42 -25.10:23:31	Success Success Success	14.123.216.112 125.88.77.13 122.100.230.98	\$ \$	

https://ibcust101:6443/CAST/DocumentHandling/accountInformation?user_name=laiscl824&a...

SUMMARY INFORMATION FOR SOU CHENG LAI

	MASTER ACCOUNTS
1754540 Sun Hung Kai Investment Services i	
	CUSTOMER SUHMARY FOR SOU CHENG LAT
Title:	SOU CHENG LAI
Applicant ID:	2094935
Type:	INDIVIDUAL - Non-disclosed IBroker Client Master: 1784640 - Sun Hung Kai Investment Services Limited
Legal Country:	Macao Special Administrative Region of China
Mailing Address:	ESTRADA DE SETE TANQUES, 40 EDF VISTA LINDA 12 ANDAR C, TAIPA, , MACAU, Macao Special Administrative Region of China.
E-mail Address	ustrading@shkustrader.com
Primary user name:	laiscl824
Employer:	Set/Unset Employer
Good-faith Review:	
CAFFI - Risk Ranking 2	
RELATIONSHIP TO CURRENT ML RISK COU	RTRY - Applicant (Legal Residence/Mailing Address) Account Holder
	plicant (Legal Residence/Mailing Address) Account Holder

Account Supplies For USS45376

37

	5376 (alias: 3376laisci824-cash) (VAN: 5376-	Equity yesterday:	0 USD
			11 Apr 2014
	STK	Approved:	12 Feb 2015
Status:	Open. Clearing Status	Opened:	13 Feb 2015
Phylum	C - Customer	Funded:	
Priority	NOT SET Change Priority	Closed:	
IB Entity:	IBLLC-US	Operators	
Currency:	USD	Estate:	

TMS tickets TMS sticky notes Assign to ASG Account Diagnostic

×						Accel			Ù.								****
	1.000.000		DAT	E OPENED	e 1984 1986	1000	2256 - 5866 c	0885 T 08805 T	0359 500	6.388.	DATE	CLOSED	-53998-7399	. (350)	886 T S S S S S S S S S S S S S S S S S S	200 OS	9
	2015-02-13 1	6:31:14							100 H	1.000	1883.1883					88 .BB	Ü
	2014-04-15 1	6:34:27						2015-07									À.

Decision: Accepted (Reopen)

4/9/2015 10:56 AM

https://ibcust101:6443/CAST/DocumentHandling/accountInformation?user_name=laiscl824&a...

2014-04-15 16:34:27

2015-02-10 15:02:04

Decision: Accepted (Reopen)

Proclamations:

I have reviewed the foregoing account application. Based upon the facts and circumstances presented including, but not limited to: the nature of the applicant's business and the markets it serves; the type of account for which the applicant has applied (i.e., cleared or execution-only, proprietary or customer, fully-disclosed or omnibus); the type, purpose and anticipated activity of the account; the nature and duration of IB's relationship with the applicant; publicly available information regarding the anti-money laundering and supervisory regime of the jurisdiction in which the applicant is located, formed and regulated and the jurisdiction where persons with a large ownership interest in the account are located; and the known disciplinary history of the applicant, this applicant is given a Risk Rating of:

2

Deciding User: ACES
Deciding Time: 12 Feb 2015 21:53:20 EST

Additional Remarks: Reopen of an ND client account.\n

Pictor Affinoval Biccistoris site	
Decision: Accepted (Initial) On 15 Apr. 2014 16:03:34 EDT	1030
Decision: Accepted (Initial)	
Proclamations:	
I have reviewed the foregoing account application. Based upon the facts and circumstances presented including, but not limited to: the nature of the applicationsess and the markets it serves; the type of account for which the applicant has applied (i.e., cleared or execution-only, proprietary or customer, fully-do romnibus); the type, purpose and anticipated activity of the account; the nature and duration of IB's relationship with the applicant; publicity available inforcearding the anti-money laundering and supervisory regime of the jurisdiction in which the applicant is located, formed and regulated and the jurisdiction persons with a large ownership interest in the account are located; and the known disciplinary history of the applicant, this applicant is given a Risk Rating	isciosed ormation where
2	
Deciding User: ACES	
Deciding Time: 15 Apr 2014 16:03:34 EDT	
Additional Remarks: Non-disclosed account automatically created\n	

	F CAPABILITIES
Approved Capabilities	[MULT, STK]
Activated Capabilities	[STK]

ACCOUNT DESIGNE DECISIONS

All Requested Capabilities: MULT STK

Decision: Accepted

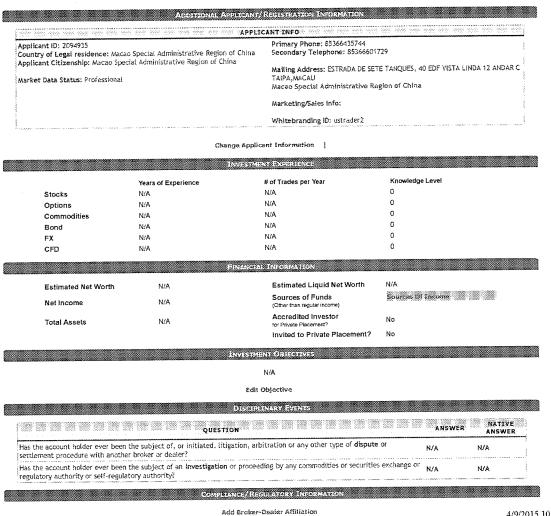
Proclamations: Deciding Officer: Deciding Time: 12 Feb 2015 21:53:20 EST

Additional Remarks:

ADDITIONAL APPLICANT/ REGISTRATION INFORMATION

4/9/2015 10:56 AM

https://ibcust101:6443/CAST/DocumentHandling/accountInformation?user_name=laisc1824&a...

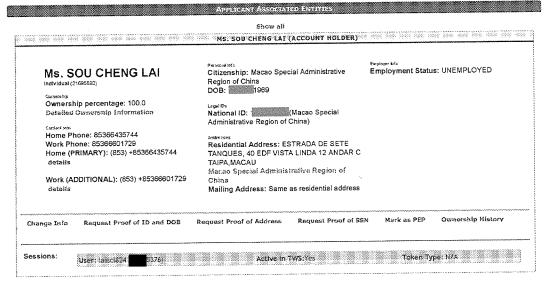


4/9/2015 10:56 AM

 $https://ibcust101:6443/CAST/DocumentHandling/accountInformation?user_name=laiscl824\&a...\\$

COMPLIANCE/REGULATORY INFORMATION Add Broker-Dealer Affiliation QUESTION ANSWER MATIYE. is the account holder a member of an exchange or an associated person, affiliated person or employee of an exchange N/A member? N/A is the account holder a member of a regulatory or a self-regulatory organization? N/A Is the account holder: i) a director; ii) a 10% shareholder; or iii) a policy-making officer of any publicly traded company? N/A N/A (Restricted or Controlled Stock) N/A is exactly a registered financial advisor? No Decision No Decision Accepted Futures Arbitration Agreement?

Edit Compliance Information Change Arbitration Agreement



Cristomer Account Supervision System - Interactive Stokers

4/9/2015 10:56 AM

SUMMARY INFORMATION FOR SOU CHENG LAI

Applicant ID: 2094935 Type: INDIVIDUAL - Non-disclosed IBroker Client Master: 1784840 - Sun Hung Kai Investment Services Limited Legal Country: Maca Special Administrative Region of China ESTRADA DE SETE TANQUES, 40 EDF VISTA LINDA 12 ANDAR C, TAIPA, , NACAU, Macao Special Administrative Region of China. E-mail Address ustading@shkustrader.com Primary user name: laisci824 Employer: Set/Unset Employer CAFFI - Risk Ranking 2	litte:	SOU CHENG LAI
Legal Country: Macao Special Administrative Region of China BSTRADA DE SETE TANQUES, 40 EDF VISTA LINDA 12 ANDAR C, TAIPA, MACAU, Macao Special Administrative Region of China. E-mail Address ustrading@shkustrader.com Primary user name: laisci824 Employer: Set /Unset Employer Good-faith Review:	Applicant ID:	
Mailing Address: ESTRADA DE SETE TANQUES, 40 EDF VISTA LINDA 12 ANDAR C, TAIPA, , MACAU, Macao Special Administrative Region of China. E-mail Address ustrading@shkustrader.com Primary user name: laisci824 Employer: Set / Unset Employer Good-faith Review:	Type:	INDIVIDUAL - Non-disclosed IBroker Client Master: 1784840 - Sun Hung Kai Investment Services Limited
Mailing Address: Region of China E-mail Address ustrading@shkustrader.com Primary user name: laiscl824 Employer: Good-faith Review:	Legal Country:	
Primary user name: laiscl824 Employer: Set/Unset Employer Good-faith Review:	Mailing Address:	
Employer: Set/Unset Employer Good-faith Review:	E-mail Address	
Good-faith Review:	Primary user name:	laisclB24
	Employer:	Set/Unset Employer
CAFFI - Risk Ranking 2	Good-faith Review:	*
	CAFFI - Risk Ranking 2	

	Account Summary For 5376		
	, 5376		
ID:	5376 (alias: 5376- laiscl824-cash) (VAN: 5376- ico.44- llaiscl824-cash)	Equity yesterday:	754,894 USD
Type:	Active Trader	Started:	11 Apr 2014
	STK	Approved:	15 Apr 2014
Status:	Open. Clearing Status	Opened:	15 Apr 2014
Phylum	C - Customer	Funded:	25 Apr 2014
Priority	NOT SET Change Priority	Closed:	
TR Entity:		Operator:	

TMS tickets TMS sticky notes Assign to ASG Account Diagnostic

Currency:

USD

 	.,,,,,,,,,,,					 																						
8730	W. W.	#"W	8. 38	C 1888	10000	 	DATE	OPI	ENEC	18888	1888	1	 1988	1000	100	1999	1000	.3000	1000	DA	TE C	clos	ED	1000	1	1988	10000	 1000
20	14-0-	-15	6:34	:27					333																			

Decision: Accepted (Initial)

Proclamations:

I have reviewed the foregoing account application. Based upon the facts and circumstances presented including, but not limited to: the nature of the applicant's business and the markets it serves; the type of account for which the applicant has applied (i.e., cleared or execution-only, proprietary or customer, fully-disclosed or omnibus); the

Estate:

Proclamations:

I have reviewed the foregoing account application. Based upon the facts and circumstances presented including, but not limited to: the nature of the applicant's business and the markets it serves; the type of account for which the applicant has applied (i.e., cleared or execution-only, proprietary or customer, fully-disclosed or omnibus); the type, purpose and anticipated activity of the account, the nature and duration of IB's relationship with the applicant; publicly available information regarding the anti-money laundering and supervisory regime of the jurisdiction in which the applicant is located, formed and regulated and the jurisdiction where persons with a large ownership interest in the account are located; and the known disciplinary history of the applicant, this applicant is given a Risk Rating of:

2

Deciding User: ACES
Deciding Time: 15 Apr 2014 16:03:34 EDT

	Additional Remarks	: Non-disclosed account automatically cre	ated\n	
		ACCOUNT CAPABILITYES		
Approved Capa			JLT, STKJ	
Activated Capa	bilities	[S]	K)	
	Ac	COUNT UPGRADE DECISIONS		
		No Upgrade Decisions yet		
	Anderonaga	THE COLUMN TO STREET WHICH SELECTION IS		
*** *** *** *** *** ***	300 300 300 300 300 300 300 300 300 300	APPLICANT INFO		
Applicant ID: 2094935		Primary Phone:8536643574 Secondary Telephone:8536		
US Tax ID: N/A Country of Legal residence: M	acao Special Administrative Region	of China		:
Applicant Citizenship: Macao S	pecial Administrative Region of Ch	ina Mailing Address #:ESTRADA 40 EDF VISTA LINDA 12 AND		
State of Legal residence: N/A		TAIPA, N/A MACAU		
Market Data Status: Profession	al	Nacao Special Administrati	e Region of China	:
		Marketing/Sales Info:		:
		N/A		
		Whitebranding ID: ustrade	Z	
	Cha	nge Applicant Information >	ar	
		INVESTMENT EXPENSES		
	Years of Experience	# of Trades per Year	Knowledge Level	
Stocks	N/A	N/A	0	
Options	N/A	N/A	0	
Commodities	N/A	N/A	0	
Bond	N/A	N/A N/A	0	
FX CFD	N/A N/A	N/A	0	
		Finales esti socilatios		
Estimated Net Worth	N/A	Estimated Liquid Net Wor	th N/A	

Estimated Net Worth N/A Estimated Liquid Net Worth N/A Net Income N/A Sources of Funds (Other than regular income) Total Assets N/A Accredited Investor for Private Placement? No

Gymenese Spinstych N/A

Edit Objective

×	Disciplinary Events			
	QUESTION	ANSWER	NATIVE ANSWER	
	Has the account holder ever been the subject of, or initiated, litigation, arbitration or any other type of dispute or settlement procedure with another broker or dealer?	N/A	N/A	
	Has the account holder ever been the subject of an investigation or proceeding by any commodities or securities exchang or regulatory authority or self-regulatory authority?	R N/A	N/A	

Add Broker-Dealer Affiliation >

COMPLIANCE/REQUESTORY INFORMATION

QUESTION	ANSWER	NATIVE ANSWER
is the account holder a member of an exchange or an associated person, affiliated person or employee of an exchange member?	N/A	N/A
is the account holder a member of a regulatory or a self-regulatory organization?	N/A	N/A
is the account holder; i) a director; ii) a 10% shareholder; or iii) a policy-making officer of any publicly traded company? (Restricted or Controlled Stock)	N/A	N/A
is exactly a registered financial advisor?	N/A	n/a
Accepted Futures Arbitration Agreement?	No Decision	No Decision

Edit Compliance Information * Change Arbitration Agreement >

MS. SOU CHENG LAI Entity ID: 21695890 Ownership percentage: 100.0 Detailed Ownership Information > Detailed Ownership Information > Administrative Region of # of Dependents: Marital Status: Mother's Maiden Name: City of Birth: Drivers Lic #: Alien ID: Passport: National ID: (Macao Special Administrative Perion of # of Dependents: Marital Status: Mother's Maiden Name: City of Birth: Drivers Lic #: Alien ID: Passport: National ID: (Macao Special Employment Status: UNEMPLOYED Employment Status: UNEMPLOYED

Detailed Owner	.° ship Information≻	Alien ID: Passport: National ID: Mational ID: Mational ID: Mas Greencard: false Home Phone: 85366435744 Work Phone: 85366601729 Residential Address: ESTRAL 40 EDF VISTA LINDA 12 ANDAI TAIPA, MACAU Macso Special Administrativ Mailing Address: Same as res Business Nature Extended: Country Mismatch Descripti	ao Special) DA DE SETE TANQUES, R C We Region of China sidential address	Occupation: Employment Status: Employer: Employer Business: Employer Address:	UNEMPLOYED
Change Into>	Request Proof of ID and DOB»	Request Proof of Address 9	Request Proof of SSN	> Mark as PEF>	Ownership History b
Sessions:	Uses: laisci524 (\$376)	Active in TWS:Yas	Role: CWNER	Token Typs	Entity Remap function & N/A moved to User McMC press

REGISTRATION STATUS

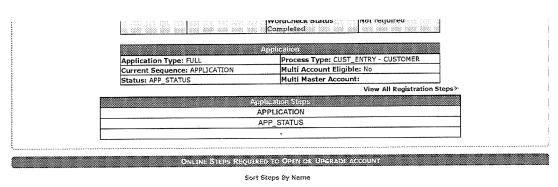
Title:	SOU CHENG LAI
Applicant ID:	2094935
Type:	INDIVIDUAL - Non-disclosed IBroker Client Master: 1784640 - Sun Hung Kai Investment Services Limited
Legal Country:	Macao Special Administrative Region of China
Mailing Address:	ESTRADA DE SETE TANQUES, 40 EDF VISTA LINDA 12 ANDAR C, TAIPA, , MACAU, Macao Special Administrative Region of China
E-mail Address	ustrading@shkustrader.com
Primary user name:	laiscIB24
Employer:	Set/Unset Employer
Good-faith Review:	
CAFFI - Risk Ranking 2	
DELATIONSHIP TO CURRENT MI. RISK	COUNTRY - Applicant (Legal Residence/Mailing Address) Account Holder

ACCOUNT SUMMARY FOR _____5376

5376

IĐ:	5376 (alias: 5376- laiscl824-cash) (VAN: 5376-	Equity yesterday:	754,894 USD
	Active Trader	Started:	11 Apr 2014
		Approved:	15 Apr 2014
Status:	Open. Clearing Status	Opened:	15 Apr 2014
Phylum	C - Customer	Funded:	25 Apr 2014
Priority	NOT SET Change Priority	Closed:	
IB Entity:	TBLC-US	Operator:	
Currency:	USD	Estate:	

TMS tickets TMS sticky notes Assign to ASG Account Diagnostic



Accourá	Registration Step	Status Date Completed	Туре
	ordine steps completed Hide all	15 Apr 2014 21:48:05 EDT	to complete
5376		25 Apr 2014 16:17:09 EDT	C SUSSESS COURSE
5376	W-8Ben	11 Ser 2013 05:11:86 FDT	

Other online steps (not required to Open or Upgrade account)

No other registration steps required for this Account.

DOCUMENT COPY OF CHUINE FORMS Account Registration Step Status Type All documents received Hide all W-8Ben 14 Apr 2014 22:08:50 EDT to send Current Status: W-0

Securiorisationical All required documents sent — 89	de all	Francisco de Constantino	Account
Proof of identity and date of birth for Ms. SOU CHENG LAI (Account	T	Tentatively Accepted (ewong) on 14 Apr 2014 22:08:50 EDT	U1345376
	Description Billion	Tentatively Accepted	1838 138

via E-mail on 11 Apr 2014 06:43:35 EDT	(ewong) on 14 Apr 2014 22:08:50 EDT	U1345376
	Tentatively Accepted (ewong) on 14 Apr 2014 22:08:50 EDT	U1345376

407 letter/email from employer required due to broker-ofaler apptiliation

No Employer Email (407 letter) required for this Account.

Eqhifax ID/Address Verification Reports

No Equifax/CDC verification reports for this Account.

OFAC, SANCTIONED AND CONTROL LIST/PROHIBITED CUSTOMER LIST SEARCHES

WorldCheck Tasks

Frinc WorldCheck Search (# Holder)	Majories 87313843) for Ms. SOU CHENG LAI (Account	False positive - Not an actual match. nide
SOU CHENG LAI	Match found. Name Match: Kun Cheng LAI Name Match: Wen Cheng LAI Name Match: Chung Cheng LAI Name Match: Lai King CHENG Name Match: Lai King CHENG Name Match: Sou Tien LAI See Match Debuils	Processing time: 11 Apr 2014 06:14:57 EDT

COMPLIANCE REVIEWS

No compliance reviews required for this Account.

HFCIP COMPLIANCE REVIEWS

No compliance reviews required for this Account.

Clisiotter Account Supervisión System inferentive Brokers



CERTIFICATION

Schreiber Translations, Inc.

51 Monroe Street

Suite 101

Rockville, MD 20850

P: 301.424.7737

F: 301.424.2336

This is to certify that the attached <u>English</u> language documents are true and accurate translations of the original <u>Chinese</u> language documents to the best of our knowledge and belief. The documents are identified as below:

"03_17_2015 12_10_06 EDT (75)", "03_17_2015 16_38_58

EDT (75)", "03_18_2015 11_48_14 EDT (125)",

"08_18_2014 21_45_21 EDT_4 (75)", "08_18_2014

21_45_21 EDT_5 (25)", "SEC-HKSFC-E-0000179 (175)",

"SEC-HKSFC-E-0000265 (400)", "SEC-HKSFC-E-0000458

(400)", "SEC-HKSFC-E-0000550 (375)"

Executed this 29th day of June, 2016

Schreiber Translations, Inc. 51 Monroe Street, Suite 101 Rockville. Maryland 20850

ATA Member 212207

Schreiber Translations, Inc. uses all available measures to ensure the accuracy of each translation, but shall not be held liable for damages due to error or negligence in translation or transcription.